IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Richard CHERYE et et

Appl. No.

: 10/840,224

Filed

: May 7, 2004

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Group Art Unit: 2642

Examiner: Not Yet Assigned

Confirmation No.: 9675

For

: NETWORK DELIVERY OF PERSONALIZED CALLER

IDENTIFICATION

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office 220 20th Street S. Customer Window, Mail Stop _____ Crystal Plaza Two, Room 1B03, Lobby Arlington, VA 22202

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicants hereby call to the Examiner's attention the following documents:

- U.S. Patent Application Publication No. 2002/0067816 to BUSHNELL, which was published on June 6, 2002;
- U.S. Patent Application Publication No. 2002/0107049 to MAQUAIRE et al., which was published in August 8, 2002;
- U.S. Patent Application Publication No. 2002/0126814 to AWADA et al., which was published on September 12, 2002;
- U.S. Patent Application Publication No. 2003/0002650 to GRUCHALA et al., which was published on January 2, 2003;
- U.S. Patent Application Publication No. 2003/0147518 to ALBAL et al., which was published on August 7, 2003;

- U.S. Patent Application Publication No. 2003/0215070 to AKHTERUZZAMAN et al., which was published on November 20, 2003;
- U.S. Patent Application Publication No. 2003/0228010 to CLARISSE et al., which was published on December 11, 2003;
 - U.S. Patent No. 3,934,079 to BARNHART, which issued on January 20, 1976;
 - U.S. Patent No. 4,191,860 to WEBER, which issued on March 4, 1980;
 - U.S. Patent No. 4,313,035 to JORDAN et al., which issued on January 26, 1982;
 - U.S. Patent No. 4,338,492 to SNOPKO, which issued on July 6, 1982;
 - U.S. Patent No. 4,349,701 to SNOPKO, which issued on September 14, 1982;
 - U.S. Patent No. 4,356,509 to SKERLOS et al., which issued on October 26, 1982;
- U.S. Patent No. 4,405,946 to KNIGHT et al., which issued on September 20,
- U.S. Patent No. 4,456,925 to SKERLOS et al., which issued on June 26, 1984;
 - U.S. Patent No. 4,582,956 to DOUGHTY, which issued on April 15, 1986;
- U.S. Patent No. 4,611,094 to ASMUTH et al., which issued on September 9, 1986;
- U.S. Patent No. 4,611,096 to ASMUTH et al., which issued on September 9, 1986;
 - U.S. Patent No. 4,756,020 to FODALE, which issued on July 5, 1988;
 - U.S. Patent No. 4,757,267 to RISKIN, which issued on July 12, 1988;
- U.S. Patent No. 4,788,718 to McNABB et al., which issued on November 29, 1988;
 - U.S. Patent No. 4,802,199 to LANGE et al., which issued on January 31, 1989;

- U.S. Patent No. 4,805,205 to FAYE, which issued on February 14, 1989;
- U.S. Patent No. 4,805,210 to GRIFFITH, Jr., which issued on February 14, 1989;
- U.S. Patent No. 4,852,151 to DITTAKAVI et al., which issued on July 25, 1989;
- U.S. Patent No. 4,873,719 to REESE, which issued on October 10, 1989;
- U.S. Patent No. 4,899,373 to LEE et al., which issued on February 6, 1990;
- U.S. Patent No. 4,922,523 to HASHIMOTO, which issued on May 1, 1990;
- U.S. Patent No. 4,924,496 to FIGA et al., which issued on May 8, 1990;
- U.S. Patent No. 4,974,085 to CAMPBELL et al., which issued on November 27,

U.S. Patent No. 4,989,081 to MIYAGAWA et al., which issued on January 29,

1991;

U.S. Patent No. 4,995,074 to GOLDMAN et al., which issued on February 19,

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- U.S. Patent No. 5,029,199 to JONES et al., which issued on July 2, 1991;
- U.S. Patent No. 5,046,079 to HASHIMOTO, which issued on September 3, 1991;
- U.S. Patent No. 5,046,093 to WACHOB, which issued on September 3, 1991;
- U.S. Patent No. 5,054,055 to HANLE et al., which issued on October 1, 1991;
- U.S. Patent No. 5,061,992 to UENO, which issued on October 29, 1991;
- U.S. Patent No. 5,073,927 to GRUBE, which issued on December 17, 1991;
- U.S. Patent No. 5,083,205 to ARAI, which issued on January 21, 1992;
- U.S. Patent No. 5.099,331 to TRUONG, which issued on March 24, 1992;
- U.S. Patent No. 5,109,279 to ANDO, which issued on April 28, 1992;
- U.S. Patent No. 5,117,452 to CALLELE et al., which issued in May 26, 1992;

- U.S. Patent No. 5,138,649 to KRISBERGH et al., which issued on August 11, 1992;
- U.S. Patent No.5,148,275 to BLATTER et al., which issued on September 15; 1992;
 - U.S. Patent No. 5,247,571 to KAY et al., which issued on September 21, 1993;
 - U.S. Patent No. 5,315,641 to MONTGOMERY et al., which issued on May 24,
- 1994;
- U.S. Patent No. 5,343,516 to CALLELE et al., which issued in August 30, 1994;
- U.S. Patent No. 5,353,331 to EMERY et al., which issued on October 4, 1994;
- U.S. Patent No. 5,467,388 to REDD, JR. et al., which issued on November 14,
- 1995;
- U.S. Patent No. 5,469,500 to SATTER et al., which issued on November 21, 1995;
 - U.S. Patent No. 5,491,744 to KIKINIS, which issued in February 13, 1996;
 - U.S. Patent No. 5,513,251 to ROCHKIND et al., which issued in April 30, 1996;
 - U.S. Patent No. 5,519,767 to O'HORO et al., which issued in May 21, 1996;
 - U.S. Patent No. 5,524,146 to MORRISEY et al., which issued on June 4, 1996;
 - U.S. Patent No. 5,533,102 to ROBINSON et al., which issued in July 2, 1996;
 - U.S. Patent No. 5,546,447 to SKARBO et al., which issued in August 13, 1996;
 - U.S. Patent No. 5,559,855 to DOWENS et al., which issued on September 24,
- 1996;
- U.S. Patent No. 5,559,856 to DOWENS et al., which issued on September 24, 1996;

- U.S. Patent No. 5,559,857 to DOWENS et al., which issued on September 24, 1996;
 - U.S. Patent No. 5,574,776 to LEUCA et al., which issued on November 12, 1996;
- U.S. Patent No. 5,581,604 to ROBINSON et al., which issued in December 3,
- 1996;
- U.S. Patent No. 5,625,676 to GRECO et al., which issued in April 29, 1997;
- U.S. Patent No. 5,651,060 to COHN et al., which issued in July 22, 1997;
- U.S. Patent No. 5,680,443 to KASDAY et al., which issued on October 21, 1997;
- U.S. Patent No. 5,684,862 to FINNIGAN, which issued in November 4, 1997;
- U.S. Patent No. 5,696,815 to SMYK, which issued in December 9, 1997;
- U.S. Patent No. 5,724,412 to SRINIVASAN, which issued in March 3, 1998;
- U.S. Patent No. 5,764,748 to ROSENTHAL et al., which issued in June 9, 1998;
- U.S. Patent No. 5,805,587 to NORRIS et al., which issued in September 8, 1998;
- U.S. Patent No. 5,805,677 to FERRY et al., which issued on September 8, 1998;
- U.S. Patent No. 5,805,682 to VOIT et al., which issued in September 8, 1998;
- U.S. Patent No. 5,809,112 to RYAN, which issued on September 15, 1998;
- U.S. Patent No. 5,809,128 to MCMULLIN, which issued in September 15, 1998;
- U.S. Patent No. 5,825,862 to VOIT et al., which issued in October 20, 1998;
- U.S. Patent No. 5,832,072 to ROZENBLIT, which issued on November 3, 1998;
- U.S. Patent No. 5,835,583 to HETZ et al., which issued on November 10, 1998;
- U.S. Patent No. 5,867,562 to SCHERER, which issued on February 2, 1999;
- U.S. Patent No. 5,883,943 to SIDDIQUI, which issued in March 16, 1999;
- U.S. Patent No. 5,917,817 to DUNN et al., which issued in June 29, 1999;

- U.S. Patent No. 5,917,888 to GIUNTOLI, which issued on June 29, 1999;
- U.S. Patent No. 5,933,490 to WHITE et al., which issued in August 3, 1999;
- U.S. Patent No. 5,937,050 to YUE et al., which issued in August 10, 1999;
- U.S. Patent No. 5,946,381 to DANNE et al., which issued in August 31, 1999;
- U.S. Patent No. 5,982,774 to FOLDARE et al., which issued in November 9,

U.S. Patent No. 5,999,611 to TATCHELL et al., which issued in December 9,

1999;

- U.S. Patent No. 6,014,379 to WHITE et al., which issued in January 11, 2000;
- U.S. Patent No. 6,028,917 to CREAMER et al., which issued in February 22,

2000;

U.S. Patent No. 6,031,896 to GARDELL et al., which issued in February 29,

2000;

- U.S. Patent No. 6,052,444 to FERRY et al., which issued on April 18, 2000;
- U.S. Patent No. 6,078,581 to SHTIVELMAN et al., which issued in June 20,

2000;

- U.S. Patent No. 6,078,583 to TAKAHARA et al., which issued in June 20, 2000;
- U.S. Patent No. 6,081,589 to JIANG et al., which issued in June 27, 2000;
- U.S. Patent No. 6,091,808 to WOOD et al., which issued on July 18, 2000;
- U.S. Patent No. 6.097,795 to UNGRUH et al., which issued in August 1, 2000;
- U.S. Patent No. 6,101,246 to HEINMILLER et al., which issued in August 8,

2000;

U.S. Patent No. 6,104,800 to BENSON, which issued in August 15, 2000;

U.S. Patent No. 6,125,126 to HALLENSTAL, which issued in September 26, 2000; U.S. Patent No. 6,144,644 to BAJZATH et al., which issued on November 17, 2000; U.S. Patent No. 6,178,232 to LATTER et al., which issued in January 23, 2001; U.S. Patent No. 6,205,213 to KUCMEROWSKI et al., which issued in March 20, 2001; U.S. Patent No. 6,212,261 to MEUBUS et al., which issued in April 3, 2001; U.S. Patent No. 6,219,413 to BURG, which issued in April 2001; U.S. Patent No. 6,219,414 to MACIEJEWSKI et al., which issued in April 17, 2001; U.S. Patent No. 6,259,692 to SHTIVELMAN et al., which issued in July 10, 2001; U.S. Patent No. 6,278,771 to CROCKETT et al., which issued in August 21, 2001; U.S. Patent No. 6,282,275 to GURBANI et al., which issued on August 28, 2001; U.S. Patent No. 6,317,488 to DePOND et al., which issued in November 13, 2001; U.S. Patent No. 6,366,661 to DEVILLIER et al., which issued in April 2002; U.S. Patent No. 6,418,214 to SMYTHE et al., which issued on July 9, 2002; U.S. Patent No. 6,434,226 to TAKAHASHI, which issued in August 13, 2002; U.S. Patent No. 6,459,782 to BEDROSIAN et al., which issued on October 1,

U.S. Patent No. 6,570,983 to SPEENEY et al., which issued in May 27, 2003;
U.S. Patent No. 6,665,388 to BEDINGFIELD, which issued on December 16,
2003;

"Caller EyeDee," by Dazil Internet Services, printed on December 13, 2001; "Internet Call Manager-It's For You," printed on December 13, 2001; and "Internet Caller ID-Call Waiting Manager (ICWM), by Computer Peripheral Systems, Inc., printed on December 10, 2001;

Brazil Patent Publication No. 8,603,764, which published May 17, 1988. The aforementioned Brazilian Patent Document No. 8,603,764 apparently discloses a general purpose telephone caller identification system that includes a video display computer and a printer feeder;

European Patent Application Publication No. EP 0 740 480, which was published October 30, 1996;

European Patent Publication No. 0 380 315 to UENO, which published on August 1, 1990;

French Patent Publication No. 2,305,078, which published October 15, 1976;

French Patent Publication No. 2,320,670, which published March 4, 1977;

International Patent Application Publication No. WO 98/52342, which was published in November 1998;

Japanese Laid-Open Patent Publication No. 2-113657, which was published on April 25, 1990, together with an English language abstract thereof;

Japanese Laid-Open Patent Publication No. 64-78059 (JP 1-078059), which was published on March 23, 1989, together with an English language abstract thereof;

Japanese Laid-Open Patent Publication No. HEI 2-202278, which was published on August 10, 1990, together with an English language abstract thereof;

Japanese Laid-Open Patent Publication No. HEI 3-29456, which was published on February 7, 1991, together with an English language abstract thereof;

Japanese Patent Publication No. 1-91560, which published April 11, 1989, together with an English language abstract thereof;

Japanese Patent Publication No. 2-299361, which published December 11, 1990, together with an English language abstract thereof;

United Kingdom Patent Publication No. 2193419 to Cameron, which published February 3, 1988;

United Kingdom Patent Publication No. 2223911, which published on April 18, 1990;

Bellcore Technical Reference TR-NWT-000283, Issue 2, "Simplified Message Desk Interface (SMDI) Generic Requirements," (May 1991);

Consumer Information Appliance; p. 8, Published by Jupiter Communications;
October 1991;

D. Wachob. "Consumer-Friendly Cable/Telco Integration: Today and Tomorrow," Communication Technology, October 1991;

Advertising Brochure for Class Mate 10, MHE Systems Corporation, 1989;

Specification Description for MOS integrated circuit µPD 6141, dated April 1991;

J. Quain. "Why a Monitor Isn't a TV," PC Magazine, May 14, 1991, pp. 352-353;

Bellcore Publication TR-TSY-000 31, "Class (sm) Feature: Calling Number Delivery", Issue 3, January 1990;

International Search Report corresponding to patent family member of U.S. Application Serial No. 07/728,341 to FERRY et al., which was filed on July 11, 1991 and now abandoned;

Notification of and International Preliminary Examination Report regarding International Application No. PCT/US01/43206, mailed January 24, 2003;

Opinion of Dec. 3, 1987, *United States v. Western Electric Company*, (DDC. 1987), Civil Action No. 82-0192;

Modification of Final Judgment, *United States v. Western Electric Company* (DDC. 1992), Civil Action No. 82-0192;

Class Mate Systems Corporation Brochure, Mar. 1, 1990;

McGraw-Hill Electronics Dictionary, p. 356, (5th ed 1994) by John Markus & Neil Sclater;

MHE Class Mate 10 and the Rochelle Communications Publications Caller ID plus line Plus systems (pages 7 and 8 of a Jupiter Communications Publication; January/February, 1991);

Bergman, Roger K., and Brewster, John H., "Perspective on the AIN Architecture," IEEE Communications Magazine, February 1992, pp. 27-32;

"CLASS Calling Name Delivery Generic Requirements FSD 01-02-1070,"

LATA Switching Systems Generic Requirements, Bell Communications Research,

Technical Reference TR-NWT-001188, Issue 1 (December 1991);

"Switching System Requirements for Call Control Using the Integrated Services
Digital Network User Part (ISDNUP)," Bell Communications Research, Technical
Reference TR-TSY-000317, Issue 2 (January 1989);

"CLASS Feature: Selective Call Acceptance," Bell Communications Research,
Technical Advisory TA-TSY-001034, Issue 1 (April 1990);

"CLASS Feature: Distinctive Ringing / Call Waiting," Bell Communications Research, Technical Reference TR-TSY-000219, Issue 2 (August 1988);

"CLASS Feature: Calling Number Delivery FSD-01-02-1051," Bell Communications Research, Technical Reference TR-TSY-000031, Issue 3 (January 1990);

"ISDN Call Forwarding," Bell Communications Research, Technical Reference TR-TSY-000853, Issue 1 (December 1988);

"ISDN Call Forwarding, Revision 1," Bell Communications Research, Technical Reference TR-TSY-000853, Revision 1 (December 1993);

"Generic Requirements for ISDN Automatic Recall," Bell Communications
Research, Technical Reference TR-NWT-001199, Issue 1 (February 1992);

"CLASS Feature: Automatic Recall FSD 01-02-1260," Bell Communications Research, Technical Reference TR-NWT-000227, Issue 3 (June 1993);

"CLASS Feature: Automatic Callback FSD 01-02-1250," Bell Communications Research, Technical Reference TR-NWT-000215, Issue 3 (June 1993);

InfoInterActive Inc. Internet Call Manager, printed on March 2, 1999;

Applicants also bring to the Examiner's attention a demonstration diskette regarding a caller ID product that was available, at least around January 1993, from a company known as Data Source, Inc. Apparently, around January 1993, a copy of the demonstration diskette and/or further information could be obtained by contacting 407-889-7377 or 406 Noya Lane, Apopka, Florida 32712; and

Applicants also bring to the Examiner's attention a document entitled, "Status of PINs and Remote Access," Bell Communications Research, Technical Memorandum TM-INS-021336, May 29, 1992, which has not been readily locatable.

With respect to the printed websites cited herein, the date on which the website was reviewed and printed is given as the earliest date known to Applicants. Applicants make no representation that this "printed on" date is the earliest publication date.

However, Applicants are not aware of any practical way of determining the publication date on the World Wide Web.

Also, with respect to the CallerEyeDee printed website document, cited above,
Applicants further point out that the web page mentions version 1.01 of the Caller
EyeDee, and refers to other versions. However, Applicants make no representation of the
publication dates of any of the versions, and invite the Examiner to review same and
make an independent determination.

Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the U.S. patents and U.S. patent application publications are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of the non-U.S. patents and non-U.S. patent application publications that were not cited in the parent application are provided herewith and the above-noted documents are listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of

P24943.A01.doc

the completed Form to the Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action on the merits has issued and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for the consideration of this statement, including any payment under 37 C.F.R. §1.17 (p) to Deposit Account No. 19-0089.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully Submitted, Richard CHERYE et al.

Bruce H. Bernstein Reg. No. 29,027

August 12, 2004 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 (703) 716-1191

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conformance and not considered. Include copy of this form with next communication to applicant.

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. P24943

Application No. 10/840, 224

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant Richard CHERYE et al (Use several sheets if necessary) Filing Date Group May 7, 2004 **U.S. PATENT DOCUMENTS** EXAMINE CLAS **FILING DATE** R INITIAL DOCUMENT NUMBER DATE **NAME** S **SUBCLASS** IF APPROPRIATE 10/10/89 REESE 02/06/90 LEE et al 05/01/90 HASHIMOTO et al 05/08/90 FIGA et al 11/29/90 CAMPBELL et al 01/2991 MIYAGAWA et al 02/19/91 GOLDMAN et al 07/02/91 JONES et al 09/03/91 **HASHIMOTO** 09/03/91 **WACHOB** 10/01/91 HANLE et al 10/29/91 **UENO** 12/17/91 **GRUBE** 01/21/92 ARAI 03/24/92 **TRUONG** 04/28/92 **ANDO** 05/26/92 CALLELE et al 08/11/92 KRISBERGH et al 09/15/92 BLATTER et al 09/21/93 KAY et al 05/24/94 MONTGOMERY et al 08/30/94 CALLELE et al 10/04/94 EMERY et al 11/14/95 REDD JR et al 11/21/95 SATTER et al 02/13/96 **KIKINIS** 04/30/96 ROCKKIND et al FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT NUMBER DATE COUNTRY **CLASS SUBCLASS** YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER DATE CONSIDERED** *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. Department of Commerce

Patent and Trademark Office

Atty. Docket No. P24943

Application No. 10/840, 224

Applicant INFORMATION DISCLOSURE STATEMENT Richard CHERYE et al BY APPLICANT (Use several sheets if necessary) Filing Date Group May 7, 2004 **U.S. PATENT DOCUMENTS EXAMINER** FILING DATE INITIAL DOCUMENT NUMBER DATE **CLASS** SUBCLASS IF APPROPRIATE **NAME** 05/21/96 O'HORO et al 06/04/96 MORRISEY et al 07/02/96 ROBINSON et al 08/13/96 SKARBO et al 09/24/96 DOWENS et al 09/24/96 DOWENS et al 09/24/96 DOWENS et al 11/12/96 LEUCA et al 12/03/96 ROBINSON et al 04/29/97 GRECO et al 07/22/97 COHN et al 10/21/97 KASDAY et al 11/04/97 **FINNIGAN** 12/09/97 **SMYK** 03/13/98 **SRINIVASAN** 06/09/98 ROSENTHAL et al 09/08/98 NORRIS et al 09/08/98 FERRY et al 09/08/98 VOIT et al 09/15/98 **RYAN** 09/15/98 **MCMULLIN** 10/20/98 VOIT et al 11/03/98 **ROZENBLIT** 11/10/98 HETZ et al 02/02/99 **SCHERER** FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT NUMBER DATE **COUNTRY CLASS SUBCLASS** YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER DATE CONSIDERED *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

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Atty. Docket No. P24943

Application No. 10/840, 224

Applicant INFORMATION DISCLOSURE STATEMENT Richard CHERYE et al BY APPLICANT (Use several sheets if necessary) Filing Date Group May 7, 2004 **U.S. PATENT DOCUMENTS EXAMINER** CL **FILING DATE** INITIAL DATE ASS **DOCUMENT NUMBER NAME SUBCLASS** IF APPROPRIATE 03/16/99 **SIDDIQUI** 06/29/99 DUNN et al 06/29/99 GIUNTOLI 08/03/99 WHITE et al 08/10/99 YUE et al 08/31/99 DANNE et al 11/09/99 FOLDARE et al 12/09/99 TATCHELL et al 01/11/00 WHITE et al 02/22/00 CREAMER et al 02/29/00 GARDELL et al 04/18/00 FERRY et al 06/20/00 SHTIVELMAN et al 06/20/00 TAKAHARA et al 06/27/00 JIANG et al 07/18/00 WOOD et al UNGRUH et al 08/01/00 08/08/00 HEINMILLER et al 08/15/00 BENSON 09/26/00 **HALLENSTAL** 11/17/00 BAJZATH et al 01/23/01 LATTER et al 03/20/01 KUCMEROWSKI et al 04/03/01 MEUBUS et al 04/2001 **BURG** 04/17/01 MACIEJEWSKI et al FOREIGN PATENT DOCUMENTS **TRANSLATION DOCUMENT NUMBER** DATE COUNTRY **CLASS SUBCLASS** YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER**

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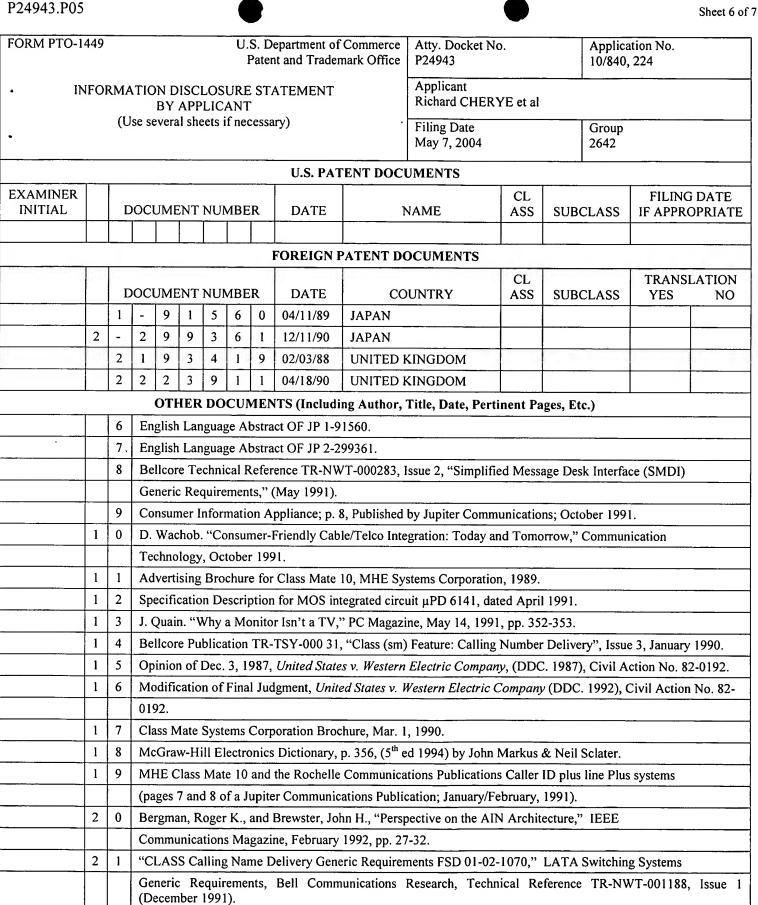
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